

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No. 37965-0006

Applicant: Paul JACKSON et al

Appl. No.: 09/709,383

Title: DIPEPTIDYL PEPTIDASE IV INHIBITORS AND METHODS OF MAKING AND USING DIPEPTIDYL PEPTIDASE IV INHIBITORS

Filing Date: November 13, 2000

**TRANSMITTAL OF MISSING PARTS**  
**OF PATENT APPLICATION**

Assistant Commissioner for Patents  
Washington, D.C. 20231  
ATTN: BOX MISSING PARTS

Sir:

In response to the Notice to File Missing Parts of Application mailed on January 29, 2001 in the captioned application, transmitted herewith are the missing parts needed to complete the filing of the subject patent application.

Enclosed are:

- [ X ] Declaration and Power of Attorney
- [ X ] Copy of Notice to File Missing Parts (Part 2)
- [ X ] Check in the amount of **\$1,355.00** in payment of \$355.00 Basic Filing fee, \$490.00 additional claims fee, \$65.00 surcharge fee (37 C.F.R. § 1.16(e)), and \$445.00 Petition for Extension of Time fee.

The Assistant Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 08-1641. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Assistant Commissioner is authorized to charge the unpaid amount to Deposit Account No. 08-1641.

Respectfully submitted,

Date: June 28, 2001

HELLER EHRMAN WHITE & MCAULIFFE  
815 Connecticut Avenue, NW, Suite 200  
Washington, D.C. 20006  
Telephone: (202) 263-8900  
Facsimile: (202) 785-8877

By *Patricia A. Morales* 33,683

*for* John P. Isacson  
Attorney for Applicant  
Reg. No.: 33,715



26633

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**FEE TRANSMITTAL  
for FY 2001**

Patent fees are subject to annual revision.

**Complete if Known**

Application Number 09/709,383  
 Filing Date November 13, 2000  
 First Named Inventor Paul JACKSON et al.  
 Examiner Name Unassigned  
 Group / Art Unit Unassigned  
 Attorney Docket No. 37965-0006

**TOTAL AMOUNT OF PAYMENT (\$)** 1355**METHOD OF PAYMENT (check one)**

- 1.
- ☐
- The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit  
Account  
Number

08-1641

Deposit  
Account  
Name

HELLER EHRMAN WHITE &amp; MCAULIFFE

- ☒ Charge Any Additional Fee Required  
Under 37 CFR 1.16 and 1.17  
☒ Applicant claims small entity status.  
See 37 CFR 1.27

- 2.
- ☒
- Payment Enclosed:

☒ Check ☐ Credit card ☐ Money  
Order ☐ Other

**FEE CALCULATION**

## 1. BASIC FILING FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	355
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	

**SUBTOTAL (1)**

(\$ 355)

## 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
30	-20 = 10	9	90
Independent Claims	13	-3 = 10	400
Multiple Dependent			0

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2)**

(\$ 490)

\*\*or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION (continued)**

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	65
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	445
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	130	123	130	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)**

(\$ 510)

**SUBMITTED BY****Complete (if applicable)**

Name (Print/Type)	John P. Isacson	Registration No. Attorney/Agent	33,715	Telephone	(202) 912-2000
Signature	Patricia H. Gerasimos 33,683		Date	June 28, 2001	

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JPI #4



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/709,383	11/13/2000	Paul Jackson et al	36849/110

Foley & Lardner  
Washington Harbour  
3000 K Street NW  
Suite 500  
Washington, DC 20007-5109

## FORMALITIES LETTER



\*OC000000005713130\*

Date Mailed: 01/29/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$980.
  - \$180 for 10 total claims over 20.
  - \$800 for 10 independent claims over 3 .
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1820.

355.00  
90.00  
400.00  
65.00

*A copy of this notice **MUST** be returned with the reply.*

*B. Monroe*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

07/03/2001 BNGUYEN1 00000016 09709383

01 FC:201  
02 FC:203  
03 FC:202  
04 FC:205

1/26/01

PART 2 - COPY TO BE RETURNED WITH RESPONSE